Completing CARB Loan Enrollment Application

(http://www.treasurer.ca.gov/cpcfa/calcap/arb/tools.asp)



Completing CARB Loan Enrollment Application – Common Errors & Omissions (continued)

✓ **Tip 2:** Check to see that the Borrower's Name, DBA & Address are <u>CONSISTENT</u>.

Borrower Information	
Name	DBA
Address	City County Zip
Type of Business/Activities	
Average Annual Revenue Last 3	Years \$
Number of Employees	Jobs created Jobs retained
Will loan monies be used at abo	ve address? Yes No If no, location where loan will be used:
Address	CityZip
NAICS Code http://www.census	Census Tract #
Purpose of Loan	
Is business minority owned?	☐ Yes ☐ No ☐ Decline to Answer
Is business woman owned?	☐Yes ☐No ☐ Decline to Answer
Is business veteran owned?	☐Yes ☐No ☐ Decline to Answer

Print Individual's Name)	(Individual's Title as it pertains to the business)
Individual's Signature)	(Date)
(Business Address, City, State, Zip Code)	(Phone Number)
	CPCFA USE ONLY
CPCFA Review: Signature and Date)	

Borrower Certification - Page 3

CARB Enrollment Application

✓ **Tip 3:** All census

should include

tract entries

the state,

Completing CARB Loan Enrollment Application Common Errors & Omissions (continued)

Borrower Information				county &	tract	
Name	DI	BA		codes – a	a total	
Address	City	County	Zip	of 11 dig	its.	
Type of Business/Activities						•
Average Annual Revenue Last 3 Years \$						
Number of Employees Job	s created Jobs reta	ained				
Will loan monies be used at above address?	? ☐ Yes ☐ No If <i>no</i> , location wh	here loan will be used:		http://ffiec.gov/Geo	code/default	t.aspx
NAICS Code http://www.census.gov/eos/w	Census Tract	06 067 00	11.01			
Purpose of Loan		Mato	hed Address		1	
Is business minority owned?	No ☐ Decline to Answer	Address		PITOL MALL, MENTO, CA, 95814		
Is business woman owned?	No ☐ Decline to Answer	MSA/MD Code State Code	40900 06		1	
Is business veteran owned? ☐ Yes ☐	No ☐ Decline to Answer	County Code Tract Code	067 0011.01			
		MSA/MD Name		MENTOROSEVILLE ARCADE, CA		
		State Name	CALIFOR			
		County Name	SACRAI	MENTO COUNTY	i 📗	

Census Demographic Data

Completing CARB Loan Enrollment Application Common Errors & Omissions (continued)

✓ **Tip 4:** On Borrower Certification - page 1 borrower initials are <u>only</u> needed on Items d, e, and f <u>if</u> the borrower received an CARB grant or voucher.

HEAVY-DUTY VEHICLE AIR QUALITY LOAN PROGRAM
(HDV AIR QUALITY LOAN PROGRAM)
BORROWER ELIGIBILITY CRITERIA AND SELF-CERTIFICATION FORM

By initialing either (d) (e), or (f) below, Borrower certifies that either (d) (e), or (f) is true and correct. If Borrower has not received a ARB grant or is not approved for a voucher below, DO NOT INITIAL ANY OF THE STATEMENTS.



- (d)_____Borrower certifies that he/she has received a grant through ARB's Proposition IB Goods Movement Emission Reduction Program for the vehicle(s) being financed.
- (e)_____Borrower certifies that he/she has received a grant through the ARB's Carl Moyer Program On-Road Heavy-Duty Vehicle Voucher Incentive Program (VIP) for the vehicle(s) being financed.
- (f)_____Borrower certifies that he/she is approved for a voucher for ARB's Hybrid and Zero-Emission Truck and Bus Voucher Incentive Project (HVIP) to purchase or lease a hybrid or zero-emission truck or bus.

Revised August 15, 2017

Completing CARB Loan Enrollment Application Errors & Omissions (continued)

✓ Tip 5: Follow these reminders when completing Page 3 of Borrower Certification.

Fleet size: Enter number of vehicles in borrower's fleet <u>prior</u> to this purchase.

GVWR: Enter truck's Gross Vehicle Weight Rating.

Replaced Truck: Not needed unless borrower received a CARB voucher or grant for replaced truck.

Signers title: Provide signer's name as it relates to the business (eg. CEO, Owner, etc).

	well agrees to allow ARB stal	ff or its designee	to inspe	ect the affected	vehicle.	
	Additional Informat	tion for Comple	tion by	the Borrower		
Fleet Size ^a						
	Fo	or Truck Purcha	ises			
For true	ck purchase, state the GVWF					
	Engine Manufacturer	Engine Mode	l Year	Engine Hors	epower	Fuel Type ^c
New Purchase						
Used Purchase						
Replaced Truck (if			4			
purchase is to replace an existing truck)		· ·				
	ARB-Verified Diesel Emissi	on Control Dev	ice (Ex	haust Retrofit) Purchas	es
	Device Manufact		Tech			echnology type.
Diesel Emission	And Model Nar	ne		Example: Die	sei Particu	late Filter)
Control Device						
		r Trailer Purcha				
	Trailer Manufact	urer	Trailer	Model Year		Way Certified?)es or (N)o
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Trailer	write in the total number (events	ula: 0) of an road u	obiolog (whicet to the De		
^a The Borrower shall in the beginning of th ^b Information about G http://www.arb.ca.go	write in the total number (examplis form, in his or her fleet. Bross Vehicle Weight Rating (GV w/msprog/truckstop/azregs/labelesel, E-Electric, N-Natural Gas, gible for financing ONLY in conjuing	WR) is available a s.htm. .Q-Hybrid.	it	,	gulation ref	
^a The Borrower shall in the beginning of th ^b Information about G http://www.arb.ca.go	nis form, in his or her fleet. Gross Vehicle Weight Rating (GV v/msprog/truckstop/azregs/label psel, E-Electric, N-Natural Gas,	WR) is available a s.htm. .Q-Hybrid.	it	,	gulation ref	
^a The Borrower shall in the beginning of th ^b Information about G http://www.arb.ca.gor ^c <u>Fuel Codes: D-Die</u> ^d Trailers may be elig	nis form, in his or her fleet. Stross Vehicle Weight Rating (GV ymsprog/truckstop/azregs/label sel, E-Electric, N-Natural Gas, jible for financing ONLY in conjui	WR) is available a s.htm. .Q-Hybrid.	it ible tract	or or exhaust ret	gulation ref	erenced
a The Borrower shall in the beginning of the Information about Ghttp://www.arb.ca.go Fuel Codes: D-Died Trailers may be elig	nis form, in his or her fleet. Gross Vehicle Weight Rating (GV v/msprog/truckstop/azregs/labels usel, E-Electric, N-Natural Gas, gible for financing ONLY in conju	WR) is available a s.htm. .Q-Hybrid.	ible tract	or or exhaust ret	gulation ref rofit.	erenced
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*The Borrower shall in the beginning of the binformation about G http://www.arb.ca.gor *Fuel Codes: D-Die d Trailers may be elig (Business Name) (Print Individual's N (Individual's Signat)	his form, in his or her fleet. Gross Vehicle Weight Rating (GV y/msprog/truckstop/azregs/labels sel, E-Electric, N-Natural Gas, gible for financing ONLY in conjuination	WR) is available a s.htm. .Q-Hybrid.	ible tract	or or exhaust ret ual's Title as it is)	gulation references to the pertains to the per	erenced

Completing CARB Loan Enrollment Application Common Errors & Omissions (continued)

Submit to CalCAP@treasurer.ca.gov

- 1. CARB (or CalCAP) Enrollment Application
- Lender Certification
- 3. Borrower Certification (followed by Docu-Sign Cert, if applicable)
- 4. NAICS verification
- Google Map printout (if census tract information is not available)

Helpful Links:

Lender Manual: http://treasurer.ca.gov/cpcfa/calcap/arb/manual/index.asp

NAICS Codes: http://www.census.gov/eos/www/naics/

Census Tract Tool: http://www.ffiec.gov/Geocode/default.aspx

CARB Authorized Retrofits: http://www.arb.ca.gov/diesel/verdev/vt/cvt.htm

✓ **Tip 6:** Send enrollment documents in the following order when submitting your loan enrollment documents to CalCAP and use these helpful links.

CARB Loan Enrollment Application Packet

CALIFORNIA CAPITAL ACCESS PROGRAM Caffemia Pollution Control Financing Authority CatCAP (Breasurer ca.pox. (916) 654-5610	Mailing Address: P.O. Box 942099 Sacramento, CA 94209-0001 Fax (916) 569-2805
	CalCAP Use Loan # Only Date Received
CalCAP AIR RESOURCES BOARD (ARB) PROGR	RAMS LOAN ENROLLMENT APPLICATION
Lender Information	Lender IDW
Participating Lender Loan Officer Name	Phone
Loan Officer Email	Filoso
Borrower Information	
Name	DBA
Address City	County Zip
Type of Business/Activities	9000
Average Annual Revenue Last 3 Years \$	
Number of Employees Jobs created	Jobs retained
Will loan monies be used at above address? ☐ Yes ☐ No	Ciffe loss will be used:
AddressCity	The Shop
NAICS Code C	ensus Tract # (O) A
http://www.census.gov/eos/www/naics/	milp like dec gov/Geocode/defa.Waspx
Purpose of Loan	₹
Is business minority owned?	wer
Is business woman owned?	wer
Is business veteran owned?	wer
Loan Information	
Lender Loan Number Type of Loan:	Line of Credit Term Loan TRAC Lease
	mount Enrolled in CalCAP \$
Date of First Disbursement (Date of Loan)M	-
Interest Rate % APR Fixed Variable	Is the loan secured? Yes No

alfon	DRNIA CAPITAL ACCESS PROGRAM nia Pollution Control Financing Authority Pottessurer ca.gov. 84-5610			Mailing Sa	Address: P.O. Box 942809 pramento, CA 94209-0001 Fax (916) 589-2805
	Borrower Name	-		Lender Loan	Number
		LENDER	CERTIFICATION		
	Enrolling a loan in the CalCAP ARE following program rules and regula		the Participating Financi	al Institution to cer	tify to each of the
	*All capitalized terms are defined in a	CCR §8070 of the	California Code of Regulat	ions.	
1)	The loan is a Qualified Loan as define	nd in 4 CCR §8078.2	2(g) of the California Code	of Regulations.	
2)	The loan is for an Eligible Cost, as de	fined in 4 CCR §807	8.22(b) of the California Co	ode of Regulations.	
	The business receiving the Qualified Regularities.	oan is a Qualified B	usiness, as defined in 4 C	CR §8078.22(f) of th	e California Code of
4)	The slife is for a business of \$8078 and Code	octivity that has its P of Regulations.	rimary Economic Effect in	California as defines	in 4 CCR
5)	The Borrower van said od the numb	er of employees cur	rently employed by the Bo	rrower , as defined in	4 CCR 8078.24(c)(10)(
6)	The Participating Fit is Try Inc. Executive Director of the IDI A such disclosure.	will provide inform the Participating	nation from financial reco Financial Institution has	rds of the Borrower obtained the conser	upon request of the et of the Borrower to
7)	The Participating Financial Institutor legal, beneficial or equitable, interest	n obtained in the Feet the	ten representation from the entribution.	Borrower that the I	Sorrower has no
	The Borrower's total principal amount of exceed \$2,500,000 over a three		CalCAP program with	any Participating F	inancial Institution does
9)	The Participating Financial Institution application for all applicable licenses			e Borrower that it ha	s secured or made
10)	The Participating Financial Institution program substantially similar to the F	has not, and will no rogram.	t, erroll the same sin	oution thereof in any	other government
11)	The Borrower has received CPCFA's	CalCAP/ARB Priva	cy Notice.	P(0)4	0
	The Participating Financial Institution soundness standards as set forth in			epject to safe any	
	By signing below and enrolling thi information provided by the Borro	s loan the Participa wer, that each of th	ting Financial Institution e above assurances is to	certifies, based in rue and accurate.	part o
	Sutherized Lender Signature		Title		Date
		Specia Solletion Com-	rol Financing Authority Use	Only	
Carc.A Loan I	ur Number	Analysi's Initials	Date Date	Reviewer's Initials	Date
		-	FUND 5		FUND 5
	20000	Contribution Amoun	15	Contribution Amount	s
Autho	rized Signature				Date:

	Borrower Name: Lender/ Participating Financial Institution: Lender Loan Number:
	SECTION II CalCAP ELIGIBILITY
By Init	tialing on each line, the Borrower certifies to eligibility under CalCAP.
(a)_	Borrower is a qualified business and small business concern defined collectively as follows:
Ó	Health and Safety Code section 44559.1(): "Qualified business" means a small business concern members both of the following criteria, regardless of whether the small business concern has concrations that affect the en
	of parties or poration, partnership, cooperative, or other entity, whether that entity is a nonprofit of the conduct business in the state.
)	(2) It hall provided by the state of the state. Health and Safey the 45558 from 18556 and business concern has the same meaning as intended in 132 of Thursday and the States Code, or as otherwise provided in regulations of the analysis.
. <	After Code of Regulations, life 4, sp. (on 370)(): "Qualified Business" and "Simal Business constituted means a business as set forth; "feath and Safety Code Section 44599.1 subdivisions (up.)" (iii). That is not dominant in its field, sp. (or and that together with affiliates, has 500 or fewer employees.
(0)	Borrower obtained a loan that is for a business actually to the property economic effect in California defined as follows:
٠	California Code of Regulations, 8tle 4, Section 8070(q): "Prehabour Interreflect in California" means, as applied to a business activity, that either of the following out is a state;
	(1) At least 51 percent of the total revenues of the business activity are generally supplying the state of t
	(2) At least 51 percent of the total jobs of the business activity are created or retained (Call his
(c)	Borrower agrees to allow the participating financial institution to provide information financial records of the Borrower upon request of the Executive Director of CPCFA.
(d)	Borrower has no legal, beneficial, or equitable interest in the fees or the contribution.
(0)	Borrower does not have a total enrolled principal amount in excess of \$2,500,000 at any CarCAP participating financial institution over a three (3) year period.
(f)	 Borrower has secured or made application for all applicable licenses or permits needed to conduct its business.
(g)	Borrower has received CPCFA's CalCAP/ARB Privacy Notice dated August 1, 2015.
(h)	Biomower is not an executive officer, director or principal shareholder of the lender/ participating financial institution; a member of the immediate family of those individuals; or a related interest of those subviduals.

		Lender/ Participa	ating Financial I Lender Loar	nstitution	K.		
		Si	ECTION III				
	Please also provid and model year of (a) Borrow (b) Borrow		n in the table to the a truck purch ts state and fed for its designer tion for Compli or Truck Purch	ellow, in hased w leral requ to inspiration by	cluding the er with loan proc- uirements to o act the affected	ngine man eeds. perate in C d vehicle.	ufacturer
	Carlo Jun	k purchase, state the GVWR Engine Manufacturer		/ Vear	Engine Hors		Fuel Type*
	New Purchase Used Purchase Replaced Truck of psychologia is 10 faces	Own.	Engine Moos	i Year	Engine Hor	espower	Fuel Type
-	A State of the last of the las	RB-Verified Dro Jel Inissi	og/@ngtroi De	rice (Ex	haust Retrofit) Purchas	05
	Diesel Emis	Device Nanufact And Model Na		Tech	nnology Type (Example: Die	State the s sel Particu	echnology type. late Filter)
	COMO CENCE	For Trailer Manufach	Traile Dir		۵		
		Trailer Manufact	urer 🔷	6	odel year		Way Certified? lies or (N)o
	Trailer			4	110-		
	in the beginning of this Information about Gr http://www.arb.ca.gov Fuel Codes: D-Dies	write in the total number (examp is form, in his or her fleet. ose Vehicle Weight Rating (OV improgravLating) Anthropi assessable is Effective. A Hatural Gas. Die for financing ONLY in conju- ame)	WR) is available. Littm. Q-Hybrid.	el pible tract	or or exhaust re		
				busines	,		
	(Individual's Signatu	rej			(Date	1)	
	(Business Address,	City, State, Zip Code)			(Phone Nu		- ∃
	(UPUPA NEVIEW: 5	ignature and USSE)					Revised August 15, 2017

	Borrower Name:
	Lender/ Participating Financial Institution: Lender Loan Number:
	Lender Loan Number:
	HEAVY-DUTY VEHICLE AIR QUALITY LOAN PROGRAM (HDV AIR QUALITY LOAN PROGRAM) BORROWER ELIGIBILITY CRITERIA AND SELF-CERTIFICATION FORM
	The undersigned Borrower hereby applies to the California Pollution Control Financing Authority (CPCFA) for funds to pay the Borrower's premium for participation in the CPCFA's California Capital Access Program (CalCAP). The lender will submit this form with the CalCAP ARB Programs to cone Enrollment Form to CPCFA at
	California Pollution Control Financing Authority (CPCFA) 881 Capitol Mall, 2**Floor Attention: California Capital Access Priorparin (CalCAP) Sacramento, CA 95514 Faz (1916) 893-2055
	Flan stone, lenders please call CPCFA staff at (916) 654-5610.
Cita	SECTION I
A STATE OF THE PARTY OF THE PAR	DV AIR QUALITY LOAN PROGRAM ELIGIBILITY Sy initialing on easy in 18 Ower certifies to eligibility under the HDV Air Quality Loan Program.
	The Converse data of the Converse of the Conve
	(c)
	by initiating utility (b) (a), or (s) below, borrower certifies that either (b) (e), or (s) has not acceled a RB grant or is not approved for a voucher below, DO NOT INITIAL ANY OF THE STATEMENTS.
	(d)Borrower certifies that he/she has received a grant through ARB's Proposition IBI Goods Movement Emission Reduction Program for the vehicle(s) being financed.
	(e) Borrower certifies that he/she has received a grant through the ARB's Carl Moyer Program On-Road Heavy-Duty Vehicle Voucher Incentive Program (VIP) for the vehicle(s) being financed.
	Borrower certifies that he/she is approved for a voucher for ARB's Hybrid and Zero-Emission Truck and Bus Voucher Incentive Project (HVIP) to purchase or lease a hybrid or zero-emission truck or bus.

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2017 NA	ICS Defi	inition				
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This industry	comprises er	stablishments primarily	engaged in providing	local general freight tru	cking. General freight	trucking establishments
handle a wid	e variety of co	ommodities, generally povide trucking within a r	palletized and transpor	ted in a container or va	in trailer. Local genera	freight trucking
Cross-Refere	ences. Establ	ishments primarily eng	aged in-			
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